

RICHARD J. OFSHE

Curricula Vitae

Residence:	University:
7112 Marlborough Terrace	Department of
Sociology	University of
Berkeley, California 94705	Berkeley, California
California	
41 5/845-4911	415/642-4289 or
94720	415/642-4766
22845 State Route 1	
Marshall, California, 94940	
415/663-1245	

Personal:
Birthdate: February 27, 1941

Education:
Stanford University Ph.D. -- 1968, Sociology
Queens College, C.U.N.Y. J4.A. -- 1964, Sociology
Queens College, C.U.N.Y. B.A. -- 1963, Psychology

Doctoral Dissertation, UA Theory of Behavior Under Conditions of
Reference Conflict, Stanford University, 1968

M.A. Thesis, Effects of Interaction in Interpersonal
Communication,"
Queens College, C.U.N.Y., 1964

Positions:
Professor, Department of Sociology, University of California,
Berkeley, California, 1982-
Associate Professor, Department of Sociology, University of
California, Berkeley, California, 1971-82
Assistant Professor, Department of Sociology, University of
California, Berkeley, California, 1967-71
Visiting Associate Professor, Department of Sociology, Stanford
University, Stanford, California, Summer 1971

Honors:

John Simon Guggenheim Memorial Foundation Fellow -- 1973-74
Pulitzer Prize -- For Public Service -- 1979:
Member of the three-person reporting and research group
whose work won the award in the name of the Point
Reyes Light newspaper

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Honors ~continued):

California Newspaper Association Awards -- 1980:
Community Service Award -- for editorials and news stories
about state government's failure to regulate Synanon
Best Editorial Series -- for editorials about state

government's failure to regulate Synanon
Best News Series -- for news coverage of Synanon and
state government
Each awarded to the Point Reyes Light based in part on
my work
Visiting Scholar -- University Center of Georgia, Atlanta, 1982

Professional Activities:

71 Member. Editorial Board of Administrative Science Quarterly, 1970-
Member, Editorial Board of ~ 1970-73
74 Member. Editorial Board of The American Journal of Sociology, 1972-
Member of the Conunittee on the Certification of Social
Psychologists
of the American Sociological Association, 1968-72
Chairman, Publications Conunittee, Social Psychology Section,
American Sociological Association, 1975-76
Member of the Faculty Council of the Center for Research in
Management
Science, University of California, Berkeley, 1975-83
Chairman, Cooley-Mead Award Selection Comittee, American
Sociological
Association, 1984-85
Member, Editorial Board of Journal of Cultic Studies, 1984-

Consultant to:

Mann County Sheriff's Department, 1979-80
Office of Attorney General, State of California, 1980-81
Office of Attorney General, State of Arizona, . 1982-84
U.S. Department of Justice, 1982-
Tax Division, 1982-84
Criminal Division, 1984-
The Prosecuting Attorney, Jefferson County, West Virginia, 1984
The Los Angeles District Attorney's Office, 1984-85
The Comissioner's Office of the Department of Social and
Rehabilitation Services, State of Vermont, 1984
Internal Revenue Service, 1986-
U.S. Attorney's Office, West Virginia. 1987-

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Publications:

Books and Monographs:

Published:

Social Ofshe, Richard, with Lynne Ofshe, Utility and Choice in
interaction, Prentice-Hall, Englewood Cliffs, New
Jersey, 1970. Reprinted by Irvington Publishers, Inc., New
York.

_____ (ed.) *The Sociology of the Possible*,
Prentice-Hall, Englewood Cliffs, New Jersey, 1970

_____ (ed.) *The Sociology of the Possible*, 2nd
Edition, Prentice-Hall, Englewood Cliffs, New Jersey, 1977

_____ (ed.) *Personality Behavior in Small
Groups*, Prentice-Hall, Englewood Cliffs, New Jersey, 1973

_____ with Cathy Mitchell and David Mitchell, *The
Light on Synanon*, Seaview Press, New York, 1980.

In Preparation:

Ofshe, Richard, *Organizations Out of Control: The Synanon
Case*. Ablex, New York

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Publications (continued):

Articles:

Ofshe, Richard with Ronald Anderson, 'Testing & Measurement
Model.' Chapter 9 in *Sociological Methodology*: 1969, Edgar
Borgatta (ed.), Jossey-Bass, San Francisco, 1968.

_____ with Norman Goodman, 'Empathy, Communication
Efficiency, and Marital Status,' *Journal of ~ and Family*,
Vol. 30, No. 4, November, 1968. ~i~FTnted: ~~TtVPsychology
and
Everyday Life, B. Franklin and F. Kohout (eds.), David McKay,
New
York, 1973.

_____, with Arthur Stinchcombe, 'On Journal Editing
as a Probabilistic Process,' *American Sociologist*, Vol. 4, No. 2,
May,
1969.

_____ with Lynne Ofshe, 'Social Choice and Utility
In Coalition Formation,' *Sociometry*, Vol. 32, No. 3,
September, 1969.

_____ "Cognitive Consistency and Language
Behavior, ~
Human Relations, Vol. 23, No. 2, April, 1970. Reprinted:
Inter
personal Behavior in Small Groups, R. Ofshe (ed.),

Prentice-Hall,
Englewood Cliffs, F11 1973.

_____, with Lynne Ofshe, 'Choice Behavior in Coalition Games, u Behavioral Science. Vol. 15, No. 4, July, 1970.
Reprinted: Bobbs-Merrill Reprint Series in Sociology, Bobbs-Merrill, Indianapolis, 1973. Reprinted: !~!p!rsonal

Behavior
in Small Groups, R. Ofshe (ed.), Prentice-
H~IT~tijti~oot~TT??s,
NJ, 1973.

_____ with Ilamit Fisek, 'The Process of Status Evolution,' Soclometry, Vol. 33, No. 3, September, 1970.
Reprinted: ~ Behavior In Small ~ R. Ofshe (ed.), Prenti~NalT~E4lewood Cliffs, NJ, I973.~

_____ with Lynne Ofshe, 'A Comparative Study of Two Scaling Models: Paired Comparisons and Scalogram Analysis,' Sociometry, Vol. 33, No. 4, December, 1970.

_____ 'The Effectiveness of Pacifist Strategies:
A
Theoretical Approach,' Journal of Conflict Resolution, Vol.
15,
No. 2, June, 1971. Reprinted: j rsonal Behavior In
Small
Groups, R. Ofshe (ed.), Prentice-Ha * Eng ewood Cliffs, NJ,
1973*

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Publications (continued):
Articles: (continued)

Ofshe, Richard, with Lynne Ofshe, A Utility Theory for the Behavior of Three Person Interaction Systems, u in Social
Choice,

B. Lieberman (ed.), Gordon and Breach, New York, 1971.

_____ uReference and Conflict and Behavior, TM
Zelditch Sociological Theories in Progress, Vol. 11, 3. Berger, N.

and B. Anderson (eds.), Houghton Mifflin, 1972.

_____ with Lynne Roberts, A Utility Based Theory
of
Decision Making, TM Contributions to ExDerimental Economics,
Vol.
III, H. Sauermann (ed.), J.C.B. Mohr, Tubingen, West Germany,
1972.

_____ * et al, NSocial Structure and Social Control
in
Synanon, Journal of Voluntary Action Research, Vol. 3, No. 3,
1,
1974.

_____ with Claude Fischer and Mark Baldassare,
 TMCroving Studies and Urban Life: A Critical Review, Journal of the
 American Institute of Planners, Vol. 41, No. 6, November,
 1975.

_____ SiSyvianon: The People Business, C. Clock and
 R. Bellah (eds.), The New Religious Consciousness, University
 of California Press, 1976.

_____ with David V. and Cathy Mitchell. As
 Editorial Consultant and Researcher with the Point Reyes Light
 newspaper, I contributed to the production of over 120 stories and
 editorials on the Synanon Foundation, Inc. This series was published
 during 1978 and 1979.

_____ * The Social Development of the Synanon Cult:
 The Managerial Strategy of Organizational Transformation,
 Sociological Analysis, Vol. 41, 2, 1980.

_____, with Margaret Lee, The Impact of Behavioral
 Style and Status Characteristics on Influence: A Test of Two
 Competing Models, TM Social Psychology Quarterly, Vol. 44, No. 2, pps.
 73-82, June 1981.

_____ * with Margaret Lee, ~Reply to Greenstein,
 Social Psychology Quarterly, Vol. 44, No. 4, December 1981.

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Publications: (continued)

Articles: (continued):

Ofshe, Richard, with Margaret Lee, "What Are We to Make of All
 This?" Social Psychology Quarterly, Vol. 46, No. 1, March
 1983.

_____ with Kenneth Chrstman, "A Two-Process
 Theory of Social Behavior ." Rationality and Collective Belief,
 Anthony Harris (ed.), Ablex, New York, 1986.

_____, with Margaret Singer, "Attacks on Peripheral
 Refor- versus Central Elements of Self and the Impact of Thought
 ming Techniques: Review and Theoretical Analysis," Cul tic

Studies

Journal, Vol. 3, No. 1, pps. 3-24, 1986.

_____ * "The Rabbi and the Sex Cult," Cultic Studies
Journal, Vol. Iii, No. 2, pps. 173-189, 1986.

History, _____ ,with Margaret Singer, "Thought Reform:
Methods, Organizations and Effects," to appear in Cults in
Society. L.J. West, M. Langone and R. Schecter
(eds.).~

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Conference Presentations

Ofshe, Richard, "Effect of Interaction in Interpersonal
Communication."
Social Psychology section of the meetings of the American
Sociological
Association, September 1964.

_____, with Norman Goodman, "Cognitive Consistency and the
A-B-X Model." Social Psychology section of the meetings of the
Pacific
Sociological Association, April 1965.

_____ "On the Strategy of Laboratory Simulation. The
West
Coast Small Groups Conference, April 1965.

_____, "A Laboratory Method for the Measurement of
Identification. Social Psychology section of the meetings of the
American Sociological Association, September 1968.

_____, with Lynne Ofshe. "A Utility Based Theory of
Decision
Making." Mathematical Models section of the meetings of the
American
Sociological Association, September 1969.

_____, with Lynne Ofshe, "A Comparative Study of Two
Scaling
Models: Paired Comparisons and Scalogram Analysis." Methodology
Sec-
tion of the meetings of the American Sociological Association,
Septem-
ber 1970.

_____ with M. Hamit Fisek, "The Process of Status
Evolution."
Social Psychology section of the meetings of the American
Sociological
Association, September 1970.

_____ with Lynne Roberts, "A Utility Based Theory of
Decision

Making." Schonberg International Conference on Experimental
Economics,
September 1971.

_____ * "The Effectiveness of Pacifist Strategies: A
Theoretical Approach." Social Psychology section of the meetings
of the
American Sociological Association, September 1971.

_____ * et al. "A Model for the Structure of Cognitions of
Racial Differences and Evaluations to Planned Social Change.
Russell
Sage Foundation Conference on Social Indicator Models, July 1972.

_____ et al, "Social Indicators of Racial
Perspectives."
Social Indicators section of the meetings of the American
Sociological
Association, September 1974.

_____ * "The Evolution of the Synanon Cult." Religion
and
Political Movements section of the Association for the Study of
Religion
meetings, August 1979.

_____ "Some Problems of Doing Controversial Research."
American Sociological Association, August 1979.

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Conference Presentations (continued):

_____ "Shifts in Opportunities and Accountability and
the
Regulation of Religious Organizations. Association for the Study
of
Religion, New York, August 1980.

_____ * "Status Characteristics and Expectation States--A
Critical Review." Thematic Session on Expectation States
Research,
American Sociological Association, New York, September 1980.

_____ * "Theories of Coercive Social Control."
Conference on
Conversion, Coersion and Conmiitznent in New Religious Movements,
Center
for the Study of New Religions, Berkeley, June 1981.

_____ with Kenneth Christman and Robert Saltz,
"Obedience to
Authority: Re-analysis and Explanation." American Sociological
Asso-
ciation meetings, Toronto, Canada, August 1981.

_____ * "The Construction of Behavior Through Out-of-

Awareness

Influence: An Alternative to Brainwashing Theories. u American Psychological Association, Los Angeles, August 1981.

_____ "Thought Reform and the Appearance of Mental Illness."

American Psychological Association, Los Angeles, September 1981.

_____ *Group Pressure Tactics in Conversion to Terrorism,"

American Psychiatric Association, Toronto, May 1982.

_____ "Problems of Doing Research on Controversial Subjects,"

American Sociological Association, San Antonio, August 1984.

_____ "The Rabbi and The Sex Cult," American Sociological

Association, Washington, D.C., August 1985.

_____ "Investigating and Analyzing Cult Cases," presentation

to the Third Annual National Legal Seminar on Cult Litigation, Pittsburgh, PA, 1987.

_____ * "Cultic Organizations: An Overview," presented at the

First International Congress on Sects and Society, Barcelona, Spain,

November 1987.

_____ "Regulation of Cult Organizations and Prosecution of

Cult-generated Crimes," presented at the First International Congress

on Sects and Society, Barcelona, Spain, November 1987.

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Conference Presentations (continued):

Ofshe, Richard, "Police Brainwashing in America:", Pacific Sociological

Association, Las Vegas, April 1988.

_____ "Coerced Confessions: The Logic of Seemingly Irrational Action," American Sociological Association Meetings, Atlanta, August 1988.

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Sociology 156
Fall 1988
Office Hours -- M & W 5:30-6:30
4289

Richard Ofshe
Office: 488 Barrows
Office Phone: 642-

THOUGHT REFORM AND SOCIAL CONTROL

COURSE DESCRIPTION:

This course will focus on tactics and procedures of extreme forms of social influence and interpersonal social control. The course will start with research on the phenomenon of thought reform (i.e. coercive persuasion, brainwashing) as employed in interrogations and political reform programs in the Soviet Union in post revolutionary China. The second segment of the course will focus on applications of thought reform practices in the United States in recent times.

Particular attention will be given to the social organization of recruitment practices of some well known and some obscure American cult organizations. The social development of the Synanon cult and Scientology will be carefully considered. In addition, other highly controversial organizations will be studied. Cases involving violence, sexual and economic exploitation and child abuse will be studied.

The final portion of the course will focus on mass "psychological-growth" or "training" programs. Questions of techniques of recruitment, influence and casualties will be addressed.

COURSE REQUIREMENTS:

A final examination and a research paper are required. In addition, there may be one or more unscheduled short examinations.
Research Paper:

The paper will count for half the course grade. The paper is to be a library research paper on a topic related to the general subject matter of the course -- thought reform and techniques of behavior control in extremist organizations. There will be wide latitude given in selecting topic subjects. The paper should be no more than 20 pages in length. The paper is due in week twelve of the semester.

Typical paper topics might include studies of the social history of particular cultic organization, media treatment of the general topic of brainwashing, theories of hypnotism, studies of certain third wave psychologies, perhaps an analysis of the Freudian movement as a cultic phenomenon, uses of encounter groups in business management, "Japanese" management techniques, etc.

Topics may derive from the subject matter of the course even if

they
are not mentioned in the course. For example, although we will not deal
very much with the subject of starvation as a technique for preparing
someone for indoctrination, if someone has an interest in researching
the
effects of starvation on cognitive processes or emotionality, the topic
would be acceptable. Similarly, we will not deal at great length with
the
socialization of children, in high control communities. Attempts to
research

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questions related to cognitive and emotional development of children who
have been raised in abusive families would be an acceptable topic as
would
research on potentially valuable alternative methods of socialization.

I'll be available during office hours to help you select a paper
topic. If you are not completely sure what you wish to write about,
just
get the general subject area in mind and come to see me. You don't need
my
approval for a topic, but I'm available to discuss your choice. I might
perhaps be of some small help.

Final Examination:

The final will be distributed during the last week of class. It
may be
written at home and returned at the time of the regular final
examination
or it may be written at the time and place of the regular examination.
The
examination is to be done open book.

Grades:

Grades will be based strictly on the examination(s) and the paper.
The paper will count for one-half the grade and the examination(s) for
half.

Required Reading:

Gerstel: Paradise Inc Synanon (On reserve at Moff it)
Hubbard: Dianetics (Available wherever trash paperbacks are sold)
Selected Reading: Photocopy material will be available at
Copymat (Bancroft between Bowditch and
Telegraph) The material will be in two
readers (R-1 and R-3)

Recommended Reading (Not Required): All of these should be on reserve

Boettcher -- Gifts of Deceit [re: Moonies]
Bradlee and Van Atta -- Prophet of Blood (re: a Mormon polygamy sect)
Bugliosi -- Helter Skelter [re: Mansoni]
Cooper -- The Scandal of Scientology

Coates -- Armed and Dangerous: The Rise of the Survivalist Right
Ire: the modern Klan, Neo-Nazis and survivalist groups]
Corydon and Hubbard -- L. Ron Hubbard: Messiah or Madman Ire:
Scientology]
Lifton -- The Nazi Doctors
Lot land -- Doomsday Cult [re: Moonies]
Miller -- Bare-Faced Messiah Ire: Scientology]
Reiterman and Jacobs -- Raven (re: Jim Jones]
Rhinehart -- The Book of EST
Terry -- The Ultimate Evil (re: Son of Sam murders in New York].

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Reading List

Weeks 1-3: Political Thought Reform in China and the Soviet Union

1. Hinkle and Wolfe -- "Communist Interrogation Tactics and Indoc-
trination of Enemies of the State"
(Reader - 1)
2. Whyte -- Small Groups and Political Behavior in China (excerpt R-1)
3. Lifton -- Thought Reform and the Psychology of Totalism (excerpt R-
1)
4. Schein -- Coercive Persuasion (excerpt R-1)

Week 4: Cults in the United States

1. Stark et al -- "Cult Movements in America" (R-1)
2. Bainbridge and Stark -- "Client and Audience Cults in America CR-i)
3. Stark and Bainbridge -- "Networks of Faith: Interpersonal Bonds
and Recruitment to Cults and Sects" (R-1)
4. Bainbridge and Stark -- "Friendship Religion and the Occult" (R-i)
5. Stark and Bainbridge -- "Who Joins Cult Movements" (R-1)
6. Delgado -- "Gentle and Ungentle Persuasion Under the First
Amendment" CR-i)

Week 5: Controversy in the United States

1. Ofshe and Singer -- "Attacks on Peripheral versus Central Elements
Elements of Self and the Efficacy of Thought Reform" (R-
1)
2. Sarbin and Adler -- "Self Reconstitution Processes" CR-i)
3. Conway and Siegelman -- "Information Disease" (R-1)
4. Levine -- "The Role of Psychiatry in the Phenomenon of Cults CR-I)
5. Levine -- "Radical Departures" CR-i)
6. Singer -- "Coming Out of the Cults" CR-i)
7. Schwartz -- "Parental Responses to Their Children's Cult
Membership"
CR-i)

Weeks 6-7: A Study of Synanon

1. Ofshe -- "The Social Development of the Synanon Cult" CR-i)
2. Ofshe et al -- "Social Structure and Social Control in Synanon" CR-
I)
3. Synanon v. United States of America - selected Court Documents CR-
i)

4. Gerstel -- Paradise Inc.: Synanon (Reserve - Moffit)

Week 8: More Cult Violence - Manson and Son of Sam

1. Watkins -- My Life With Charles Manson (copymat Reader - 2)
2. Terry -- The Ultimate Evil (optional reading on reserve)
3. Coates -- Armed and Dangerous: The Rise of the Survivalist Right (optional reading on reserve)

Week 9: Hypnosis and Recruitment - An Example

1. Ofshe -- "The Rabbi and The Sex Cult" CR-2)
2. Miller -- "The Utilization of Hypnotic Techniques in Religious Conversion" (R-2)
3. Galanter and Buckley -- "Evangelical Religion and Meditation" (R-2)

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Week 10: The Development of Scientology

1. Hubbard -- Dianetics (excerpts - pages to be announced)
2. Wallis -- The Road to Total Freedom (excerpt R-2)
3. Bainbridge and Stark -- Scientology: To Be Perfectly Clear (R-2)

Week 11: Recruitment and Psychological Impact of Participation in the Unification Church

1. Galanter et al -- The "Moonies": A Psychological Study of Conversion and Membership in a Contemporary Religious Sect" (R-2)
2. Galanter -- Psychological Induction Into the Large group: Findings from a Modern Religious Sect" (R-2)
3. Galanter -- Church ("Moonies") Dropouts: Psychological Readjustment After Leaving a Charismatic Religious Group (R-2)
4. Taylor -- "Recruitment into the Unification Church" (optional reading on reserve)

Weeks 12-13: Mass Training Programs and Psychotherapy Cults

1. Yalom and Lieberman -- "A Study of Encounter Group Casualties" (R-2)
2. Finkelstein et al -- "Large Group Awareness Training" (R-2)
3. Glass et al -- "Psychiatric Disturbances Associated with Erhard Seminars Training: I - A Report of Cases (R-2)
4. Kirsch and Glass -- "Disturbances Associated with Erhard Seminars Training: II - Additional Cases and Theoretical Considerations" (R-2)
5. Haaken and Adams -- "Pathology as Personal Growth: A Participant-Observation Study of Lifespring Training" (R-2)
6. Fisher -- "I Cried Enough to Fill a Glass (R-2)
7. Temerlin and Temerlin -- "Psychotherapy Cults" (R-2)
8. Hochman -- "Iatrogenic Symptoms Associated with a Therapy Cult" (R-2)
9. Mc Cartney and Bird -- "Straight: Does it Work"

Week 14: Childrearing:

1. Connor -- Walled In (R-2)

Week 15 -- Review

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